

Current Address

Proof of Address - YES / NO (Strike off whichever is not applicable)

*Address Type Residential Business Registered Office

*Address Line 1

Address Line 2

Address Line 3 *City

*State *Country *Pin Code

Mailing Address

Proof of Address - YES / NO (Strike off whichever is not applicable)

*Address Type Residential Business Registered Office

*Address Line 1

Address Line 2

Address Line 3 *City

*State *Country *Pin Code

Address in the Jurisdiction Details where Applicant is Resident Outside India for Tax purposes*

*Address Type Residential Business Registered Office

*Address Line 1

Address Line 2

Address Line 3 *City

*State *Country *Pin Code

All communications will be sent on mobile no / email id.

Contact Details

Office Country Code STD Code Phone No Mobile

Fax Country Code STD Code Fax No.

Residence Country Code STD Code Phone No

Email ID
(For e-statement & e-alerts)

***(C). OTHER DETAILS**

*Educational Qualification Undergraduate Graduate Post Graduate Professional Others _____
(Please specify)

*Employment Type Salaried Self-employed Retired Housewife Politician Student Others _____
(Please specify)

*Occupation Private Sector Public Sector Govt. Service Service Doctor Business Professional
 Agriculturist Retired Housewife Student Forex Dealer Others _____
(Please specify)

If Agriculturist Landless Labour Below 2.5 Acres of Land 2.5 to 5 Acres of Land Above 5 Acres of Land

*Source of Income Salary Business Income Gift Ancestral property Rental Income Prize Money Donation Others _____
(Please specify)

Know Your Customer (KYC) Documents

*Proof of Identity Passport Voter ID Card Driving Licence PAN Card
 UID (Aadhar) NREGA Job Card Others _____ (Please specify)

Document Type

*Document Identification Number

Document Issue Date *Document Expiry Date
Mandatory only for Passport and Driving Licence

*Proof of Address Passport Voter ID Card Driving Licence
 UID (Aadhar) NREGA Job Card Others _____ (Please specify)

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Non Individual Investors involved/Providing any of the mention services

Foreign Exchange/Money Changer Services Gaming/Gambling/Lottery/Casino Services Money lending/Pawning None of these

Type of Company Partnership Private Ltd Proprietorship Public Ltd Public Sector Others _____
(other than Salaried) If "others" fill as per Bank's KYC policy

*Industry Type Manufacturing Construction NBFC Non Profit Organization Micro Enterprises Others _____
(Self employed Businessmen) If "others" fill as per Bank's KYC policy

In case of Business or Profession, indicate the details (including nature of goods and services dealt in) _____

*Gross Annual Income Below 1 Lakh 1 -5 Lakh 5 - 10 Lakh 10 - 25 Lakh 25 Lakh - 1 Crore 1 - 5 Crore 5 - 10 Crore > 10 Crore

Or
Network in Rs. _____ as on

Risk Profiler

At RBL Bank, it is essential to have a clear understanding of your background & financial situation to provide you with Investment product in the most effective way. This may include understanding your personal data such as finances & wealth, family history as well as your investment horizon and appetite for risk. In order to assist you in this direction, please fill-in this risk-profiling questionnaire. By answering these questions, your investment risk profile can be developed. This profile forms the basis for us to provide suitable portfolios which matches with your background, risk profile and investment horizon.

Please Note : Risk Profiler is optional for Non Individuals

Q 1: Which age range do you fall in?

(Tick wherever is applicable)

- a Above 60
- b Between 50 and 59
- c Between 40 and 49
- d Between 30 and 39
- e Below 30

Q 2: How would you describe your present income?

- a More than sufficient to cover my living expenses
- b Sufficient to cover my living expenses
- c Not sufficient to cover my living expenses

Q 3: Your present job or business is:

- a is not dependable
- b is relatively secure
- c is secure
- d doesn't matter as you already have enough wealth
- e doesn't matter as you can easily find an equally good new Job/Career

Q 4: Normally, what percentage of your monthly household income could be available for investment or savings?

- a 0%
- b Between > 0% and 10%
- c Between > 10% and 25%
- d Between > 25% and 50%
- e Over 50%

Q 5: I plan to begin withdrawing money from my investments within:

- a Less than 3 years
- b 3-5 years
- c 6-10 years
- d More than 10 years

Q 6: What is the main reason for you investing in the financial market?

- a I depend on my investments to supplement my cash flow
- b I depend on my investment to supplement my future income
- c I want to save for the "Emergency"
- d I want to protect the value of my capital
- e I want to grow my capital

Q 7: How good is your knowledge of finance & capital markets?

- a I am an expert in the field of finance & markets
- b I am a proficient in finance & markets
- c I have average knowledge in finance & markets as I keep updated myself updated about the developments through newspapers, journals, media etc.
- d Limited to knowing things like how the stock market or certain select scripts are moving
- e No knowledge

Q 8: How would a sharp drop in your investments affect you?

- a Very much
- b I would be disappointed
- c Little bit as you are aware of market volatility
- d it would not affect me

Net worth & Investment Details

1.What is your current Net worth (financial assets)

2.What is your total Investible Surplus

Asset Allocation Scoring

Scoring to the various questions as per the table given below:

Question & Options	Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8
a)	10	25	10	10	40	10	40	5
b)	20	15	15	20	30	15	30	10
c)	25	10	25	25	20	25	20	20
d)	35		35	35	15	30	10	25
e)	45		45	45		40	5	

Scoring Results:	
Risk Profile	Points range
Conservative	80-150
Balanced	151-240
Aggressive	240+

I agree that though I have been profiled Conservative/Balanced/Aggressive but I would like to make investments as per the Conservative/Balanced/Aggressive profile. The Risk Profile, Asset allocation and scheme selection are purely done to assess my risk appetite and I agree that I will take independent decisions irrespective of the Risk Profile and the Bank will not be liable for allocating or maintaining allocation of funds as per Asset Allocation.

Signature(s):

With Company Seal Wherever applicable

1 st Holder/Signatory	2 nd Holder/Signatory	3 rd Holder/Signatory	
Suggested Asset Allocation (Depictive)			
Asset Class	Conservative	Balanced	Aggressive
Equities	25%	45%	65%
Fixed Income	65%	40%	15%
Money Market/Liquid	5%	5%	5%
Alternative Investments	5%	10%	15%
Total	100%	100%	100%

For Bank Use Only

Document Received **Certified Copies**

KYC VERIFICATION CARRIED OUT BY _____ Investment Account No _____

Date:

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

I have met Mr. / Ms. _____ in person. I hereby confirm the identity and address. The form has been filled and signed in my presence. The original documents have been verified by me.

Joint Holders Yes No

Name of Joint Holders

Branch Code: Checked By:

Signature Verified BY (BCSM / BOM): Emp. ID Form Received Date:

Customer Segment Dispatch Date: